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(PATENT)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Howard Kaufman et al.

Confirmation No.:

7662

Application No.:

10/789,627

Art Unit:

1632

Filed:

February 26, 2004

Examiner:

V. E. Bertoglio

Title:

COMPOSITION FOR DELIVERING AN AGENT TO A TARGET

**CELL AND USES THEREOF** 

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)**

Dear Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants bring to the attention of the Examiner the documents listed on the attached Form SB-08 as well as the following U.S. patent applications that are related to this application:

U.S. Provisional Application No. 60/450,719, filed February 27, 2003 (Expired)

U.S. Patent Application No. 11/213,499, filed August 26, 2005

This Information Disclosure Statement is being filed prior to the mailing date of a first Office Action on the merits. No fee is required. Applicants request that the Examiner initial and return a copy of the enclosed Form PTO SB-08 with the next communication.

Respectfully submitted,

Dated: April 4, 2007

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PTO/SB/08A/B (09-06) Approved for use through 03/31/2007. OMB 0651-0031

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Substitute for form 1449/PTO				Complete if Known		
-	•			Application Number	10/789,627-Conf. #7662	
IN	FORMATION	1 DI	SCLOSURE	Filing Date	February 26, 2004	
ST	ATEMENT E	3Y /	APPLICANT	First Named Inventor	Howard KAUFMAN	
				Art Unit	1632	
	(Use as many sheets as necessary)			Examiner Name	V. E. Bertoglio	
Sheet	1	of	1	Attorney Docket Number	0019240.00461US2	

U.S. PATENT DOCUMENTS					
Examiner Initials*			Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA*	US-6,277,599-B1	08-21-2001	Copley et al.	
	AB*	US-6,190,657-B1	02-20-2001	Pawelek et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	CA**	Xiang, R. et al.: "A dual-function DNA vaccine encoding carcinoembryonic antigen and CD40 Ligand trimer induces T cell-mediated carcinoembryonic antigen-transgenic mice," 2001, J. Immunol., Vol. 167, 4560-4565.			
	CB**	Bereta, M.K. et al.: "Construction of a recombinant Salmonella vector displaying anti-CEA antibody: A novel method for targeting colon adenocarcinoma," March 2002. Proc. Am. Assoc. Cancer Res., Vol. 43, page 663.			
	CC**	International Search Report issued for International Patent Application No. PCT/US2004/005866.			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*\* CITE NO.: Those document(s) which are marked with an double asterisk (\*\*) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner	Date	
Signature	Considered	

lication No. (if known): 10/789,627

Attorney Docket No.: 19240.461 US2

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Supplemental Information Disclosure Statement (1 page) Form PTO SB-08 (1 page)

3 References

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